Introduction	Related Works and New Results	Our Results	Conclusion

# Approximation Algorithms for the Unsplittable Capacitated Facility Location Problem

Babak Behsaz Mohammad R. Salavatipour Zoya Svitkina

Department of Computing Science University of Alberta

July 5, 2012

Problem	Statement		
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Input: F = set of facilities and C = set of clients,
 a metric cost function c between F and C,
 demand of client j = d<sub>i</sub>, opening cost of facility i = f<sub>i</sub>.



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**Goal:** open a subset of facilities and assign clients to them.



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- Objective: minimize cost = opening costs + assignment costs (assignment cost of client *j* to facility *i* = *d<sub>i</sub>c<sub>ij</sub>*).



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- **Extra Input:** capacity of facility  $i = u_i$
- **Constraints:** unsplittable demand, do not violate capacities.







All the other cost values are equal to the shortest path value in the above graph, e.g.,  $c_{31} = 4$ .

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**Solution 1**: Open the second and third facilities. Service cost is 18, facility cost is 3 and total cost is 21.





All the other cost values are equal to the shortest path value in the above graph, e.g.,  $c_{31} = 4$ .

Solution 1: Open the second and third facilities. Service cost is 18, facility cost is 3 and total cost is 21.
Solution 2: Open the first and fourth facilities. Service cost is 16, facility cost is 11 and total cost is 27.

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## **Original Motivation**

Location Problems in the operation research

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## **Original Motivation**

Location Problems in the operation research

## New motivation

# Contents Distribution Networks (CDNs):

- Alzoubi et al. (WWW '08): A load-aware IP Anycast CDN architecture
- The assignment of downloadable objects, such as media files, to some servers



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Preliminaries			

 Solving the UCFL problem without violation of capacities is NP-hard.

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- Solving the UCFL problem without violation of capacities is NP-hard.
- (α, β)-approximation algorithm for the UCFL problem: cost within factor α of the optimum, violates the capacity constraints within factor β.

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# Related Works to Variations of UCFL

## Uncapacitated Facility Location Problem

- current best approximation ratio = 1.488 (Li, ICALP'11)
- current best hardness ratio = 1.463 (Guha-Khuller, SODA'98
  - + Sviridenko's observation)

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# Related Works to Variations of UCFL

- Uncapacitated Facility Location Problem
  - current best approximation ratio = 1.488 (Li, ICALP'11)
  - current best hardness ratio = 1.463 (Guha-Khuller, SODA'98 + Sviridenko's observation)
- Splittable Capacitated Facility Location Problem
  - current best approximation ratio = 5.83 (or 5?) in the non-uniform case (Zhang-Chen-Ye, Mathematics of OR'05) and 3 in the uniform case (Aggarwal *et al.*, IPCO'10)
  - current best hardness ratio = 1.463

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## Hardness Results:

• (1.463,  $\beta$ )-hard for any  $\beta \geq 1$ 

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- (1.463,  $\beta$ )-hard for any  $\beta \geq 1$
- Violation of the capacities is inevitable, unless P = NP.

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## **Algorithmic Results:**

The first approximation algorithm: (9,4)-approximation for the uniform case (Shmoys-Tardos-Aardal, STOC'97.)

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- $(1.463, \beta)$ -hard for any  $\beta \ge 1$
- Violation of the capacities is inevitable, unless P = NP.

## **Algorithmic Results:**

The first approximation algorithm: (9,4)-approximation for the uniform case (Shmoys-Tardos-Aardal, STOC'97.) Current best approximation algorithms:

• (11, 2) for non-uniform case and (5, 2) for uniform case

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- (11,2) for non-uniform case and (5,2) for uniform case
- uniform case: (O(log n), 1 + ϵ) for any ϵ > 0 in polynomial time (Bateni-Hajiaghayi, SODA'09.)

■ non-uniform case: (O(log n), 1 + ϵ) for any ϵ > 0 in quasi-polynomial time (Bateni-Hajiaghayi, SODA'09.)

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■ Recall: The best possible is (O(1), 1 + ϵ)-approximation unless P = NP.

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Recall: The best possible is (O(1), 1 + ε)-approximation unless P = NP.

• We only consider the **uniform** case.

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- Recall: The best possible is (O(1), 1 + ε)-approximation unless P = NP.
- We only consider the **uniform** case.
- All capacities are uniform  $\rightarrow$  we can assume that u = 1 and  $d_j \leq 1$  for all  $j \in C$ .

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- Recall: The best possible is (O(1), 1 + ε)-approximation unless P = NP.
- We only consider the **uniform** case.
- All capacities are uniform  $\rightarrow$  we can assume that u = 1 and  $d_j \leq 1$  for all  $j \in C$ .

## Definition

An  $\epsilon$ -restricted UCFL, denoted by RUCFL( $\epsilon$ ), instance is an instance of the UCFL in which  $\epsilon < d_j \le 1$  for all  $j \in C$ .

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## New results, Cont'd

## Theorem

(Weaker Version) If A is an  $(\alpha, \beta)$ -approximation algorithm for the  $RUCFL(\epsilon)$  then there is an algorithm  $A_C$  for UCFL with factor

 $(10\alpha + 11, \max\{\beta, 1 + \epsilon\}).$ 

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# New results, Cont'd

## Theorem

(Weaker Version) If A is an  $(\alpha, \beta)$ -approximation algorithm for the RUCFL $(\epsilon)$  then there is an algorithm  $A_C$  for UCFL with factor

 $(10\alpha + 11, \max\{\beta, 1 + \epsilon\}).$ 

## Corollary

For any constant  $\epsilon > 0$ , an  $(O(1), 1 + \epsilon)$ -approximation algorithm for the RUCFL( $\epsilon$ ) yields an  $(O(1), 1 + \epsilon)$ -approximation for the UCFL.

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There is a polynomial time (10.173, 3/2)-approximation algorithm for the UCFLP.

## Theorem

There is a polynomial time (30.432, 4/3)-approximation algorithm for the UCFLP.

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### Theorem

There is a polynomial time (30.432, 4/3)-approximation algorithm for the UCFLP.

## Theorem

There exists a  $(1 + \epsilon, 1 + \epsilon)$ -approximation algorithm for the Euclidean UCFL in  $\mathbb{R}^2$  with running time in quasi-polynomial for any constant  $\epsilon > 0$ .

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# Large clients = clients with demand more than *ϵ*, L = {*j* ∈ C : d<sub>j</sub> > *ϵ*}.

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Large clients = clients with demand more than *ϵ*,
 L = {*j* ∈ C : d<sub>j</sub> > *ϵ*}.

**Small** clients = clients with demand at most  $\epsilon$ ,  $S = C \setminus L$ .

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Large clients = clients with demand more than *ϵ*,
 L = {j ∈ C : d<sub>j</sub> > *ϵ*}.

**Small** clients = clients with demand at most  $\epsilon$ ,  $S = C \setminus L$ .

•  $\phi_1 : C_1 \to F_1$  and  $\phi_2 : C_2 \to F_2$  are consistent if  $\phi_1(j) = \phi_2(j)$ for all  $j \in C_1 \cap C_2$ .

Large clients = clients with demand more than *ϵ*,
 L = {j ∈ C : d<sub>j</sub> > *ϵ*}.

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OPT = optimum value

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Recall:  $\mathcal{A}$  is an  $(\alpha, \beta)$ -approximation RUCFL $(\epsilon)$ . 1- Assign large clients: Introduction Related Works and New Results Our Results Conclusion of Proof of Reduction to RUCFL



Recall:  $\mathcal{A}$  is an  $(\alpha, \beta)$ -approximation RUCFL $(\epsilon)$ .

1- Assign large clients:

**1** Run  $\mathcal{A}$  to assign large clients.

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Recall:  $\mathcal{A}$  is an  $(\alpha, \beta)$ -approximation RUCFL $(\epsilon)$ .

- 1- Assign large clients:
  - **1** Run  $\mathcal{A}$  to assign large clients.
  - 2 For opened facilities, set  $f_i = 0$  and set  $u'_i$  to unused capacity of facility *i*.

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2- Assign small clients:

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# Proof of Reduction to RUCFL



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- 2- Assign small clients:
  - Assign small clients **fractionally** by an approximation algorithm for the splittable CFLP.

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# Proof of Reduction to RUCFL



- 2- Assign small clients:
  - Assign small clients **fractionally** by an approximation algorithm for the splittable CFLP.
  - Assign small clients integrally: round the splittable assignment by Shmoys-Tardos algorithm for the Generalized Assignment Problem.

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## Proof of Reduction to RUCFL, Cont'd

Basic idea: Ignoring small clients in step 1 is not a big mistake!

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# Proof of Reduction to RUCFL, Cont'd

Basic idea: Ignoring small clients in step 1 is not a big mistake!

### Lemma

(Weaker Version) There exist a fractional assignment of small clients with service cost at most  $(\alpha + 1)OPT$  and facility cost at most OPT.

splitable CFLP algorithm  $\rightarrow$  finds a fractional assignment having cost within constant factor of this fractional assignment.



General Idea: Change an optimal solution to a solution consistent with our assignment.

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- General Idea: Change an optimal solution to a solution consistent with our assignment.
- Switch the assignment of large clients one by one.
- service cost ≤ service cost of small clients in optimum plus service cost of large clients in optimum (OPT) plus service cost of large clients αOPT.



- General Idea: Change an optimal solution to a solution consistent with our assignment.
- Switch the assignment of large clients one by one.
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- General Idea: Change an optimal solution to a solution consistent with our assignment.
- Switch the assignment of large clients one by one. Order?
- service cost ≤ service cost of small clients in optimum plus service cost of large clients in optimum (OPT) plus service cost of large clients αOPT.



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- General Idea: Change an optimal solution to a solution consistent with our assignment.
- Switch the assignment of large clients one by one. Order?
- service cost ≤ service cost of small clients in optimum plus service cost of large clients in optimum (OPT) plus service cost of large clients αOPT.
- Do all switches simultaneously.

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# Proof of Reduction to RUCFL, Cont'd

- We showed there is a fractional assignment of small clients with low cost.
- We found one with a low cost by an approximation algorithm. Now?

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# Proof of Reduction to RUCFL, Cont'd

- We showed there is a fractional assignment of small clients with low cost.
- We found one with a low cost by an approximation algorithm. Now?
- Using rounding for Generalized Assignment problem:
  - Connection cost remains the same.
  - It violates the capacities at most to the extent of the largest demand.
  - The largest demand is at most  $\epsilon \to {\rm violation}$  is within factor  $1+\epsilon.$

Introduction 0000	Related Works and New Results 00000	Our Results 00000●	Conclusion
$RUCFL(\frac{1}{2})$			

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## Theorem

There is an exact algorithm for  $RUCFL(\frac{1}{2})$ .

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$RUCFL(\frac{1}{2})$			

There is an exact algorithm for  $RUCFL(\frac{1}{2})$ .

## proof

Each facility serves exactly one client in the optimal solution.

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$RUCFL(\frac{1}{2})$			

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## proof

Each facility serves exactly one client in the optimal solution.

The optimal assignment is a matching.

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$RUCFL(\frac{1}{2})$			

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- Each facility serves exactly one client in the optimal solution.
- The optimal assignment is a matching.
- The algorithm is a min-cost maximum matching algorithm.

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Introduction 0000	Related Works and New Results	Our Results	Conclusion 00
$RUCFL(\frac{1}{2})$			

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## proof

Each facility serves exactly one client in the optimal solution.

- The optimal assignment is a matching.
- The algorithm is a min-cost maximum matching algorithm.

## Corollary

There is a (10.173, 3/2)-approximation algorithm for the UCFL problem.

Introduction	Related Works and New Results	Our Results	Conclusion
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Conclusion and	Future Works		

 To solve the UCFL problem, we transformed the problem to a simpler version.

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Introduction	Related Works and New Results	Our Results	Conclusion
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To solve the UCFL problem, we transformed the problem to a

• We solved the simpler version for  $\epsilon = 1/2$  and  $\epsilon = 1/3$  to obtain factor (10.173, 3/2) and (30.432, 4/3) approximation

Conclusion and Future Works

simpler version.

algorithms.

Introduction	Related Works and New Results	Our Results	Conclusion
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## Conclusion and Future Works

- To solve the UCFL problem, we transformed the problem to a simpler version.
- We solved the simpler version for  $\epsilon = 1/2$  and  $\epsilon = 1/3$  to obtain factor (10.173, 3/2) and (30.432, 4/3) approximation algorithms.

■ Open question? Finding a (*O*(1), 1 + *ϵ*)-approximation algorithm for the UCFL problem.

Introduction	Related Works and New Results	Our Results	Conclusion
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# Thanks for your attention! Questions?

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