# Approximation Algorithms for the Unsplittable Capacitated Facility Location Problem 

Babak Behsaz
Mohammad R. Salavatipour
Zoya Svitkina

Department of Computing Science
University of Alberta

$$
\text { July 5, } 2012
$$

## Problem Statement

## Unsplittable Capacitated Facility Location (UCFL) Problem

- Input: $F=$ set of facilities and $C=$ set of clients, a metric cost function $c$ between $F$ and $C$, demand of client $j=d_{j}$, opening cost of facility $i=f_{i}$.



## Problem Statement

## Unsplittable Capacitated Facility Location (UCFL) Problem

- Input: $F=$ set of facilities and $C=$ set of clients, a metric cost function $c$ between $F$ and $C$, demand of client $j=d_{j}$, opening cost of facility $i=f_{i}$.
■ Goal: open a subset of facilities and assign clients to them.



## Problem Statement

## Unsplittable Capacitated Facility Location (UCFL) Problem

- Input: $F=$ set of facilities and $C=$ set of clients, a metric cost function $c$ between $F$ and $C$, demand of client $j=d_{j}$, opening cost of facility $i=f_{i}$.
■ Goal: open a subset of facilities and assign clients to them.
■ Objective: minimize cost $=$ opening costs + assignment costs (assignment cost of client $j$ to facility $i=d_{j} c_{i j}$ ).



## Problem Statement

## Unsplittable Capacitated Facility Location (UCFL) Problem

- Input: $F=$ set of facilities and $C=$ set of clients, a metric cost function $c$ between $F$ and $C$, demand of client $j=d_{j}$, opening cost of facility $i=f_{i}$.
■ Goal: open a subset of facilities and assign clients to them.
■ Objective: minimize cost $=$ opening costs + assignment costs (assignment cost of client $j$ to facility $i=d_{j} c_{i j}$ ).
- Extra Input: capacity of facility $i=u_{i}$



## Problem Statement

## Unsplittable Capacitated Facility Location (UCFL) Problem

- Input: $F=$ set of facilities and $C=$ set of clients, a metric cost function $c$ between $F$ and $C$, demand of client $j=d_{j}$, opening cost of facility $i=f_{i}$.
■ Goal: open a subset of facilities and assign clients to them.
■ Objective: minimize cost $=$ opening costs + assignment costs (assignment cost of client $j$ to facility $i=d_{j} c_{i j}$ ).
- Extra Input: capacity of facility $i=u_{i}$

■ Constraints: unsplittable demand, do not violate capacities.


## An Example of UCFL



All the other cost values are equal to the shortest path value in the above graph, e.g., $c_{31}=4$.

## An Example of UCFL



All the other cost values are equal to the shortest path value in the above graph, e.g., $c_{31}=4$.

Solution 1: Open the second and third facilities. Service cost is 18 , facility cost is 3 and total cost is 21 .

## An Example of UCFL



All the other cost values are equal to the shortest path value in the above graph, e.g., $c_{31}=4$.

Solution 1: Open the second and third facilities. Service cost is 18 , facility cost is 3 and total cost is 21 .
Solution 2: Open the first and fourth facilities. Service cost is 16, facility cost is 11 and total cost is 27 .

## Motivations

## Original Motivation

Location Problems in the operation research

## Motivations

## Original Motivation

Location Problems in the operation research

## New motivation

Contents Distribution Networks (CDNs):

■ Alzoubi et al. (WWW '08): A load-aware IP Anycast CDN architecture

- The assignment of downloadable objects, such as media files, to some servers



## Preliminaries

- Solving the UCFL problem without violation of capacities is $N P$-hard.


## Preliminaries

- Solving the UCFL problem without violation of capacities is $N P$-hard.
■ ( $\alpha, \beta$ )-approximation algorithm for the UCFL problem: cost within factor $\alpha$ of the optimum, violates the capacity constraints within factor $\beta$.


## Related Works to Variations of UCFL

■ Uncapacitated Facility Location Problem

- current best approximation ratio $=1.488$ (Li, ICALP'11)
- current best hardness ratio $=1.463$ (Guha-Khuller, SODA'98 + Sviridenko's observation)


## Related Works to Variations of UCFL

■ Uncapacitated Facility Location Problem

- current best approximation ratio $=1.488$ (Li, ICALP'11)
- current best hardness ratio $=1.463$ (Guha-Khuller, SODA'98 + Sviridenko's observation)
■ Splittable Capacitated Facility Location Problem
- current best approximation ratio $=5.83$ (or 5?) in the non-uniform case (Zhang-Chen-Ye, Mathematics of OR'05) and 3 in the uniform case (Aggarwal et al., IPCO'10)
- current best hardness ratio $=1.463$


## UCFL Previous Results

## Hardness Results:

■ (1.463, $\beta$ )-hard for any $\beta \geq 1$

## UCFL Previous Results

## Hardness Results:

- (1.463, $\beta$ )-hard for any $\beta \geq 1$

■ Violation of the capacities is inevitable, unless $P=N P$.

## UCFL Previous Results

## Hardness Results:

- (1.463, $\beta$ )-hard for any $\beta \geq 1$

■ Violation of the capacities is inevitable, unless $P=N P$.
Algorithmic Results:
The first approximation algorithm: $(9,4)$-approximation for the uniform case (Shmoys-Tardos-Aardal, STOC'97.)

## UCFL Previous Results

## Hardness Results:

- (1.463, $\beta$ )-hard for any $\beta \geq 1$

■ Violation of the capacities is inevitable, unless $P=N P$.
Algorithmic Results:
The first approximation algorithm: $(9,4)$-approximation for the uniform case (Shmoys-Tardos-Aardal, STOC'97.)
Current best approximation algorithms:
■ $(11,2)$ for non-uniform case and $(5,2)$ for uniform case

## Hardness Results:

- (1.463, $\beta$ )-hard for any $\beta \geq 1$

■ Violation of the capacities is inevitable, unless $P=N P$.

## Algorithmic Results:

The first approximation algorithm: $(9,4)$-approximation for the uniform case (Shmoys-Tardos-Aardal, STOC'97.)
Current best approximation algorithms:
■ $(11,2)$ for non-uniform case and $(5,2)$ for uniform case
■ uniform case: $(O(\log n), 1+\epsilon)$ for any $\epsilon>0$ in polynomial time (Bateni-Hajiaghayi, SODA'09.)

- non-uniform case: $(O(\log n), 1+\epsilon)$ for any $\epsilon>0$ in quasi-polynomial time (Bateni-Hajiaghayi, SODA'09.)


## New Results

■ Recall: The best possible is $(O(1), 1+\epsilon)$-approximation unless $P=N P$.

## New Results

■ Recall: The best possible is $(O(1), 1+\epsilon)$-approximation unless $P=N P$.

■ We only consider the uniform case.

## New Results

■ Recall: The best possible is $(O(1), 1+\epsilon)$-approximation unless $P=N P$.

■ We only consider the uniform case.

- All capacities are uniform $\rightarrow$ we can assume that $u=1$ and $d_{j} \leq 1$ for all $j \in C$.


## New Results

■ Recall: The best possible is $(O(1), 1+\epsilon)$-approximation unless $P=N P$.

■ We only consider the uniform case.
■ All capacities are uniform $\rightarrow$ we can assume that $u=1$ and $d_{j} \leq 1$ for all $j \in C$.

## Definition

An $\epsilon$-restricted UCFL, denoted by $\operatorname{RUCFL}(\epsilon)$, instance is an instance of the UCFL in which $\epsilon<d_{j} \leq 1$ for all $j \in C$.

## New results, Cont'd

## Theorem

(Weaker Version) If $\mathcal{A}$ is an ( $\alpha, \beta$ )-approximation algorithm for the $\operatorname{RUCFL}(\epsilon)$ then there is an algorithm $\mathcal{A}_{C}$ for UCFL with factor

$$
(10 \alpha+11, \max \{\beta, 1+\epsilon\}) .
$$

## New results, Cont'd

## Theorem

(Weaker Version) If $\mathcal{A}$ is an ( $\alpha, \beta$ )-approximation algorithm for the $R U C F L(\epsilon)$ then there is an algorithm $\mathcal{A}_{C}$ for UCFL with factor

$$
(10 \alpha+11, \max \{\beta, 1+\epsilon\}) .
$$

## Corollary

For any constant $\epsilon>0$, an $(O(1), 1+\epsilon)$-approximation algorithm for the $\operatorname{RUCFL}(\epsilon)$ yields an $(O(1), 1+\epsilon)$-approximation for the UCFL.

## New Results, Cont'd

## Theorem

There is a polynomial time (10.173,3/2)-approximation algorithm for the UCFLP.

## Theorem

There is a polynomial time (30.432, 4/3)-approximation algorithm for the UCFLP.

## New Results, Cont'd

## Theorem

There is a polynomial time (10.173,3/2)-approximation algorithm for the UCFLP.

## Theorem

There is a polynomial time (30.432, 4/3)-approximation algorithm for the UCFLP.

## Theorem

There exists a $(1+\epsilon, 1+\epsilon)$-approximation algorithm for the Euclidean UCFL in $\mathbb{R}^{2}$ with running time in quasi-polynomial for any constant $\epsilon>0$.

## Some More Definitions

- Large clients = clients with demand more than $\epsilon$, $L=\left\{j \in C: d_{j}>\epsilon\right\}$.


## Some More Definitions

- Large clients = clients with demand more than $\epsilon$, $L=\left\{j \in C: d_{j}>\epsilon\right\}$.
■ Small clients $=$ clients with demand at most $\epsilon, S=C \backslash L$.


## Some More Definitions

■ Large clients = clients with demand more than $\epsilon$, $L=\left\{j \in C: d_{j}>\epsilon\right\}$.

- Small clients $=$ clients with demand at most $\epsilon, S=C \backslash L$.
- $\phi_{1}: C_{1} \rightarrow F_{1}$ and $\phi_{2}: C_{2} \rightarrow F_{2}$ are consistent if $\phi_{1}(j)=\phi_{2}(j)$ for all $j \in C_{1} \cap C_{2}$.


## Some More Definitions

■ Large clients = clients with demand more than $\epsilon$, $L=\left\{j \in C: d_{j}>\epsilon\right\}$.

- Small clients $=$ clients with demand at most $\epsilon, S=C \backslash L$.
- $\phi_{1}: C_{1} \rightarrow F_{1}$ and $\phi_{2}: C_{2} \rightarrow F_{2}$ are consistent if $\phi_{1}(j)=\phi_{2}(j)$ for all $j \in C_{1} \cap C_{2}$.
■ $O P T=$ optimum value


## Proof of Reduction to RUCFL

$\bullet$

0

## -



## Proof of Reduction to RUCFL



Recall: $\mathcal{A}$ is an $(\alpha, \beta)$-approximation $\operatorname{RUCFL}(\epsilon)$.
1- Assign large clients:

## Proof of Reduction to RUCFL



Recall: $\mathcal{A}$ is an $(\alpha, \beta)$-approximation $\operatorname{RUCFL}(\epsilon)$.
1- Assign large clients:
1 Run $\mathcal{A}$ to assign large clients.

## Proof of Reduction to RUCFL



Recall: $\mathcal{A}$ is an $(\alpha, \beta)$-approximation $\operatorname{RUCFL}(\epsilon)$.
1- Assign large clients:
1 Run $\mathcal{A}$ to assign large clients.
2 For opened facilities, set $f_{i}=0$ and set $u_{i}^{\prime}$ to unused capacity of facility $i$.

## Proof of Reduction to RUCFL



2- Assign small clients:

## Proof of Reduction to RUCFL



2- Assign small clients:
1 Assign small clients fractionally by an approximation algorithm for the splittable CFLP.

## Proof of Reduction to RUCFL



2- Assign small clients:
1 Assign small clients fractionally by an approximation algorithm for the splittable CFLP.

2 Assign small clients integrally: round the splittable assignment by Shmoys-Tardos algorithm for the Generalized Assignment Problem.

## Proof of Reduction to RUCFL, Cont'd

Basic idea: Ignoring small clients in step 1 is not a big mistake!

## Proof of Reduction to RUCFL, Cont'd

Basic idea: Ignoring small clients in step 1 is not a big mistake!

## Lemma

(Weaker Version) There exist a fractional assignment of small clients with service cost at most $(\alpha+1)$ OPT and facility cost at most OPT.
splitable CFLP algorithm $\rightarrow$ finds a fractional assignment having cost within constant factor of this fractional assignment.

## Proof of Reduction to RUCFL, Cont'd



■ General Idea: Change an optimal solution to a solution consistent with our assignment.

## Proof of Reduction to RUCFL, Cont'd



■ General Idea: Change an optimal solution to a solution consistent with our assignment.

- Switch the assignment of large clients one by one.
- service cost $\leq$ service cost of small clients in optimum plus service cost of large clients in optimum (OPT) plus service cost of large clients $\alpha O P T$.


## Proof of Reduction to RUCFL, Cont'd



■ General Idea: Change an optimal solution to a solution consistent with our assignment.

- Switch the assignment of large clients one by one.
- service cost $\leq$ service cost of small clients in optimum plus service cost of large clients in optimum (OPT) plus service cost of large clients $\alpha O P T$.


## Proof of Reduction to RUCFL, Cont'd

$$
s_{i}=\text { total demand of small clients assigned to } i \text { th facility }
$$



■ General Idea: Change an optimal solution to a solution consistent with our assignment.

- Switch the assignment of large clients one by one.
- service cost $\leq$ service cost of small clients in optimum plus service cost of large clients in optimum (OPT) plus service cost of large clients $\alpha O P T$.


## Proof of Reduction to RUCFL, Cont'd



■ General Idea: Change an optimal solution to a solution consistent with our assignment.
■ Switch the assignment of large clients one by one. Order?

- service cost $\leq$ service cost of small clients in optimum plus service cost of large clients in optimum (OPT) plus service cost of large clients $\alpha O P T$.


## Proof of Reduction to RUCFL, Cont'd

$$
s_{i}=\text { total demand of small clients assigned to } i \text { th facility }
$$



■ General Idea: Change an optimal solution to a solution consistent with our assignment.
■ Switch the assignment of large clients one by one. Order?

- service cost $\leq$ service cost of small clients in optimum plus service cost of large clients in optimum (OPT) plus service cost of large clients $\alpha O P T$.


## Proof of Reduction to RUCFL, Cont'd

$$
s_{i}=\text { total demand of small clients assigned to } i \text { th facility }
$$



■ General Idea: Change an optimal solution to a solution consistent with our assignment.
■ Switch the assignment of large clients one by one. Order?
■ service cost $\leq$ service cost of small clients in optimum plus service cost of large clients in optimum (OPT) plus service cost of large clients $\alpha O P T$.
■ Do all switches simultaneously.

## Proof of Reduction to RUCFL, Cont'd

- We showed there is a fractional assignment of small clients with low cost.
- We found one with a low cost by an approximation algorithm. Now?


## Proof of Reduction to RUCFL, Cont'd

■ We showed there is a fractional assignment of small clients with low cost.

- We found one with a low cost by an approximation algorithm. Now?
- Using rounding for Generalized Assignment problem:
- Connection cost remains the same.
- It violates the capacities at most to the extent of the largest demand.
- The largest demand is at most $\epsilon \rightarrow$ violation is within factor $1+\epsilon$.


## RUCFL( $\frac{1}{2}$ )

## Theorem

There is an exact algorithm for $\operatorname{RUCFL}\left(\frac{1}{2}\right)$.

## RUCFL( $\frac{1}{2}$ )

## Theorem

There is an exact algorithm for $\operatorname{RUCFL}\left(\frac{1}{2}\right)$.

## proof

■ Each facility serves exactly one client in the optimal solution.

## RUCFL( $\frac{1}{2}$ )

## Theorem

There is an exact algorithm for $\operatorname{RUCFL}\left(\frac{1}{2}\right)$.

## proof

- Each facility serves exactly one client in the optimal solution.
- The optimal assignment is a matching.


## RUCFL( $\frac{1}{2}$ )

## Theorem

There is an exact algorithm for $\operatorname{RUCFL}\left(\frac{1}{2}\right)$.

## proof

■ Each facility serves exactly one client in the optimal solution.

- The optimal assignment is a matching.
- The algorithm is a min-cost maximum matching algorithm.


## Theorem

There is an exact algorithm for $\operatorname{RUCFL}\left(\frac{1}{2}\right)$.

## proof

- Each facility serves exactly one client in the optimal solution.
- The optimal assignment is a matching.
- The algorithm is a min-cost maximum matching algorithm.


## Corollary

There is a (10.173, 3/2)-approximation algorithm for the UCFL problem.

## Conclusion and Future Works

- To solve the UCFL problem, we transformed the problem to a simpler version.


## Conclusion and Future Works

- To solve the UCFL problem, we transformed the problem to a simpler version.
- We solved the simpler version for $\epsilon=1 / 2$ and $\epsilon=1 / 3$ to obtain factor $(10.173,3 / 2)$ and $(30.432,4 / 3)$ approximation algorithms.


## Conclusion and Future Works

- To solve the UCFL problem, we transformed the problem to a simpler version.
- We solved the simpler version for $\epsilon=1 / 2$ and $\epsilon=1 / 3$ to obtain factor $(10.173,3 / 2)$ and (30.432, 4/3) approximation algorithms.
■ Open question? Finding a $(O(1), 1+\epsilon)$-approximation algorithm for the UCFL problem.


## Thanks for your attention! Questions?

